

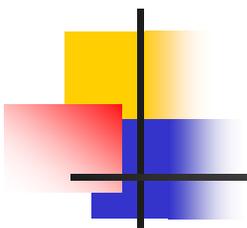
**ORCLE**

**Optical & Rf Combined Link Experiment**

19 November 2003

**Advanced Technology Office**

G. Duchak  
[gduchak@darpa.mil](mailto:gduchak@darpa.mil)  
703-696-7495



---

I rarely end up where I was intending to go, but  
often end up somewhere that I needed to be.

Douglas Adams

*The Long Dark Tea-Time of the Soul*



# Where We've Been.....

---

## THOR Phase 1 Summary

# THOR Proposed Architecture & CONOPS

Problem: High bandwidth access to the GIG to/from the battlespace

Solution: Mobile Free Space Optical

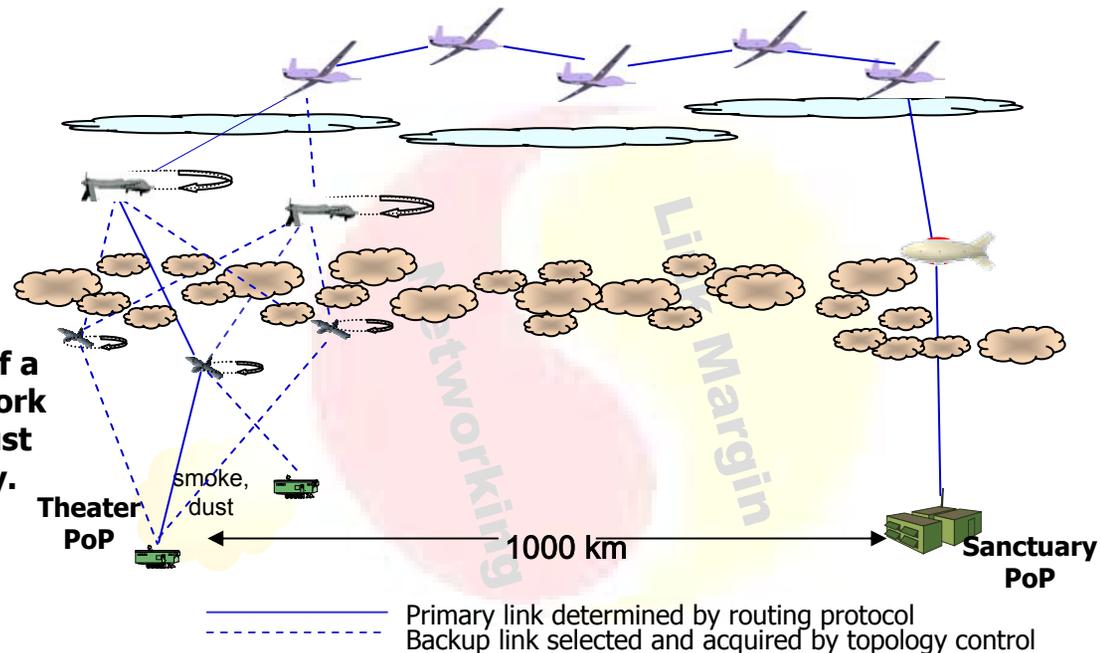
## ■ Challenges

- Variable channel characteristics (turbulence induced fading)
- LOS limitations (cloud blocking concentrated at lower altitudes)
- Bandwidth consistent with GIG, OC-48 (10X CDL)

Large link margins (~30dB) forgive many of the sins of scintillations (turbulence fades)

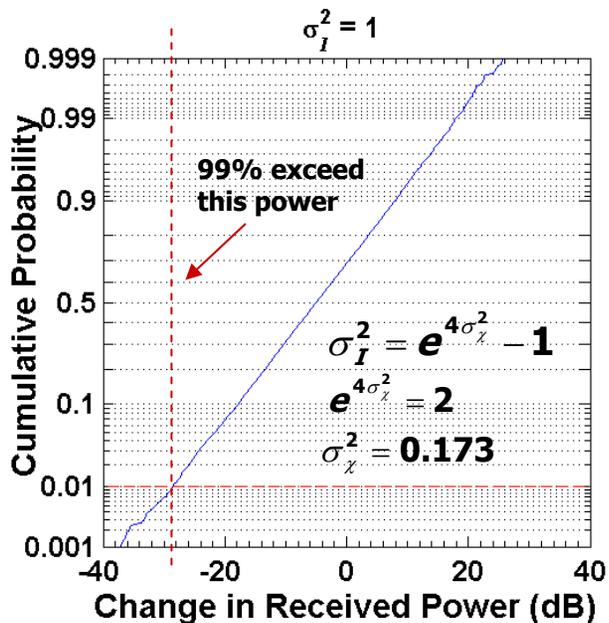
Networking (path diversity) forgives many of the sins of path blocking (clouds)

**Taken together, these two attributes of a THOR network enable robust connectivity.**

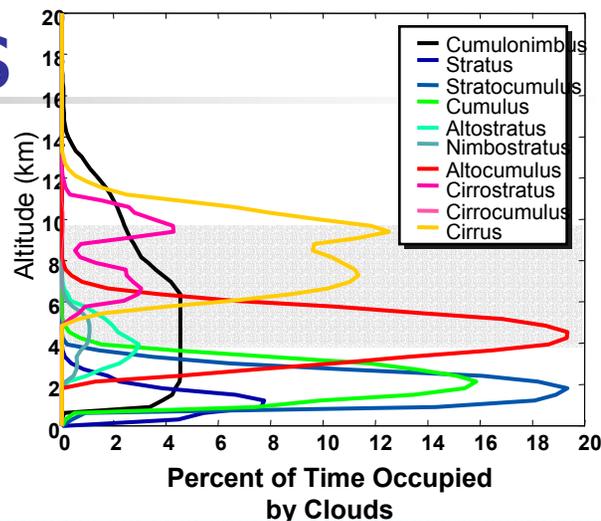


# Scintillation & Clouds

Cumulative Distribution of Intensity Fluctuations due to Scintillation At Onset of Saturation



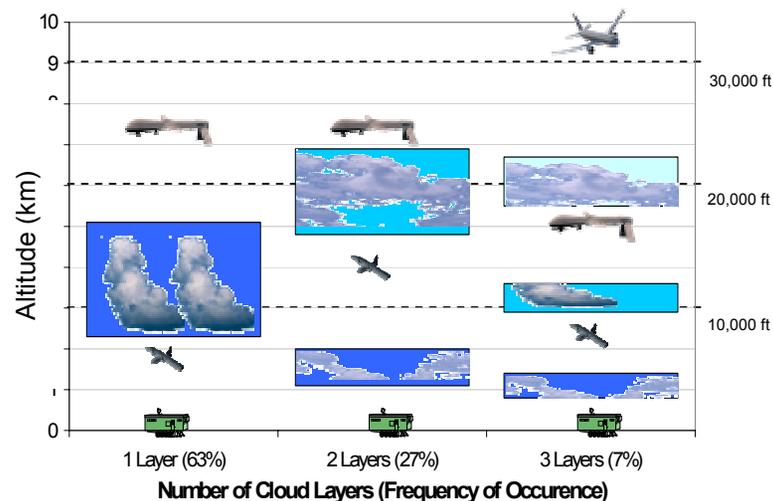
Under the most severe scintillation, 30 dB is sufficient 99% of the time



Clouds concentrated within 1-8 km altitude BUT the number of layers is important....

Average Cloud Layer Height Over Land

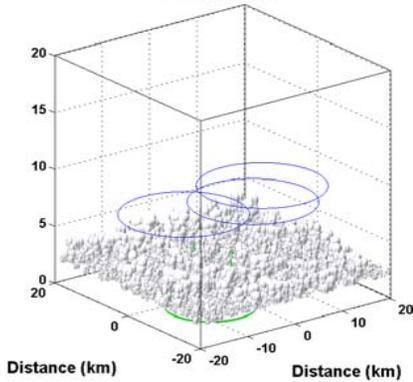
Wang et al., *J. of Climate* 13 2000 (3041-3056)



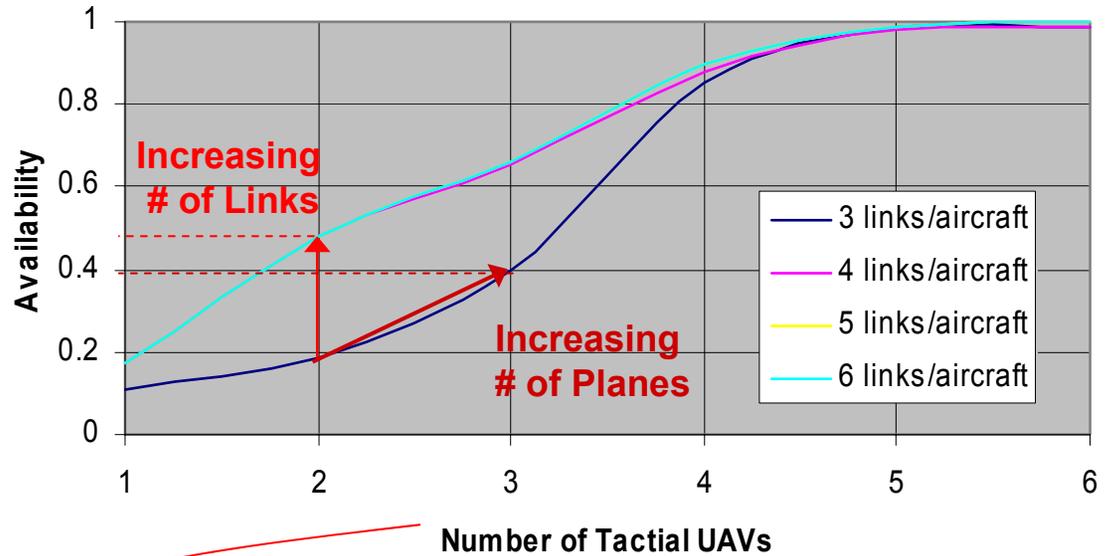
# Network Availability versus Number of Links

Scene model

Very Cloudy



75% Chance of a link being down due to clouds

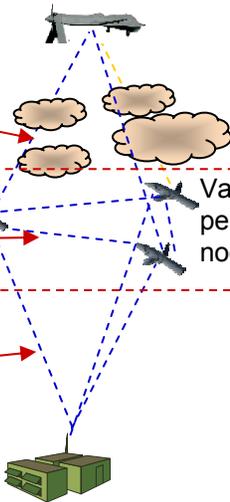


Tactical to Mid  
Link availability = .25

Crosslink  
availability = .95

Vary # nodes, # links  
per node (shown: 3  
nodes, 4 links/node)

Tactical to Ground  
Link availability = .95

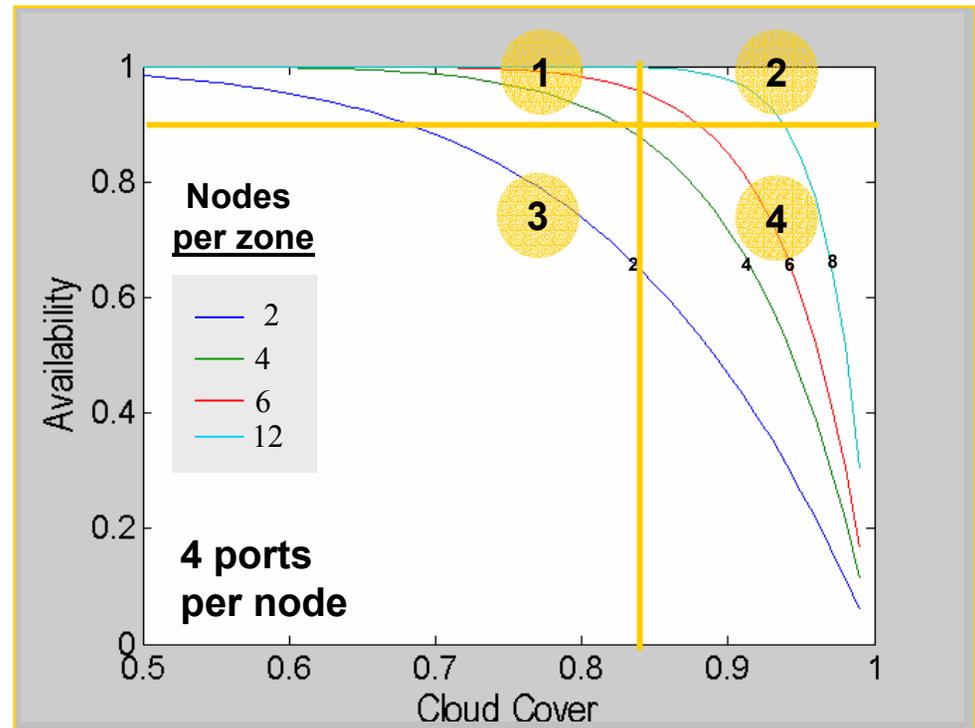


3 links per node introduces redundancy  
4 links per node increases redundancy

# FSD General Availability

## Four Operational Quadrants

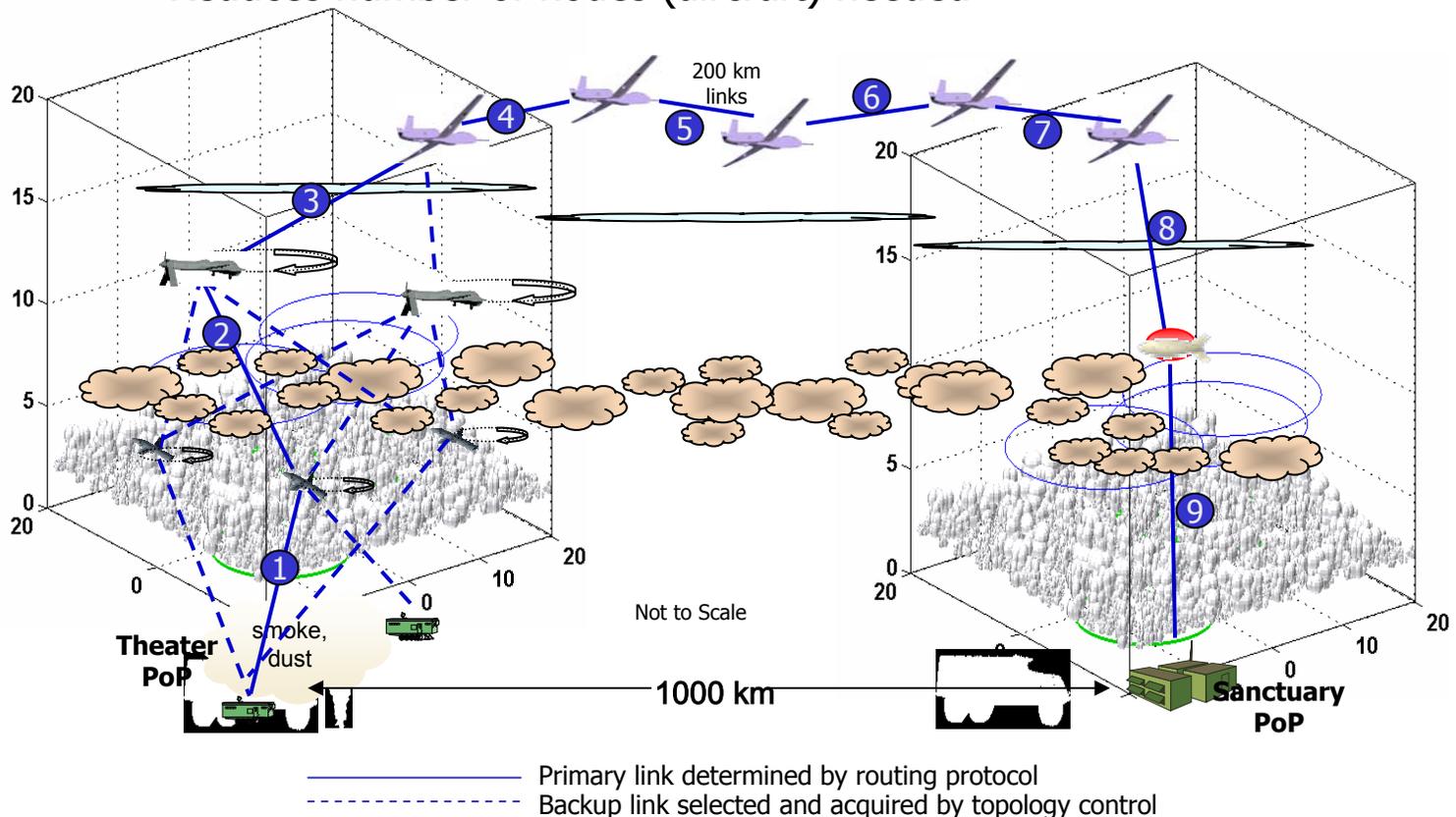
1. Feasible operating area
2. Expensive operating area - requires more nodes
3. Don't care [availability < 90%]
4. FSD falls apart



# Power of Path Diversity

## Path Diversity Needed to Route Around Blockages

- Concentrate Nodes at Lower Altitudes (< 8 km)
- Multiple Ports per Node to Achieve Route Diversity
  - Yields high *network* availability (under all but extreme cloud cover)
  - Reduces number of nodes (aircraft) needed

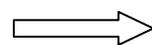
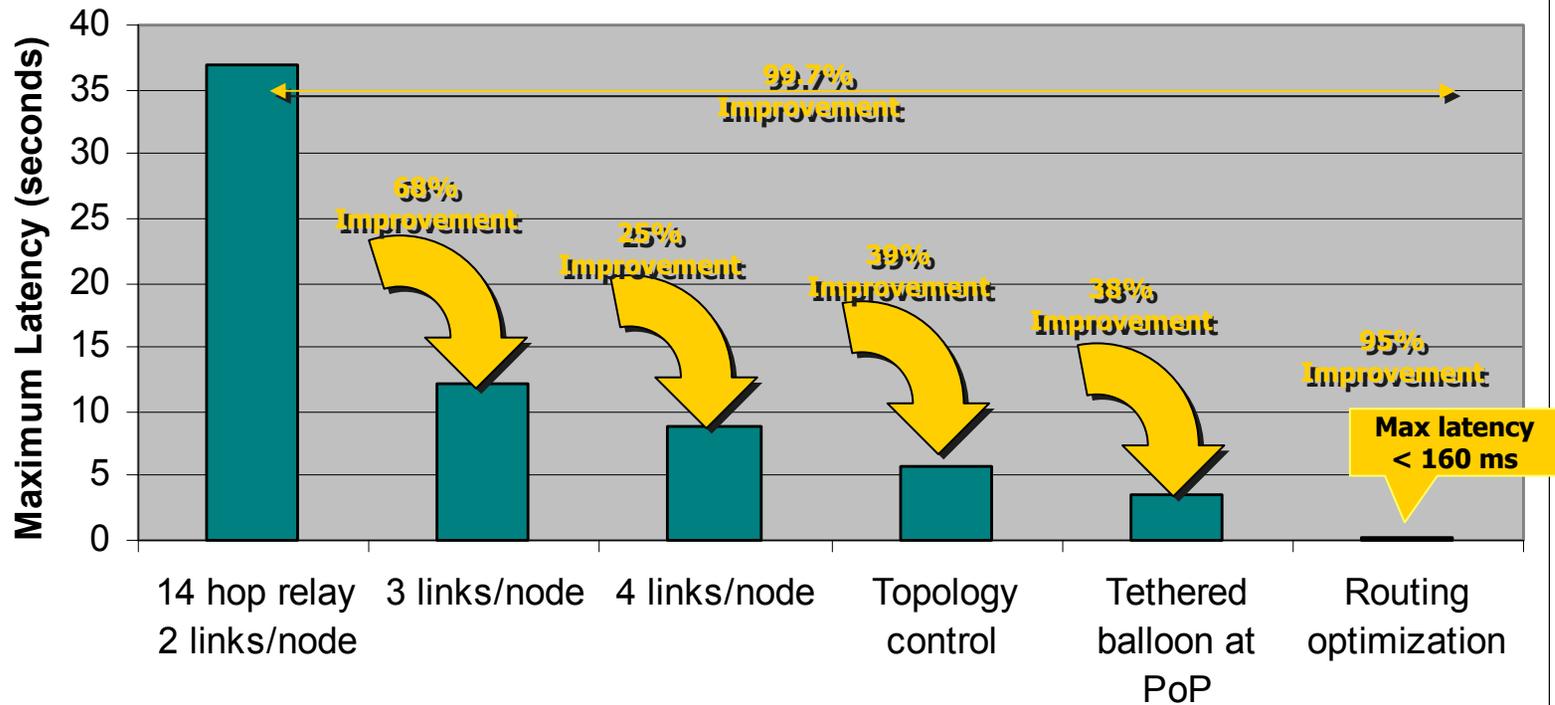


# A Cumulative Solution

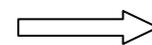
# Improvements in Latency QoS

## Latency Improvement in Partly Cloudy Scenario with Application of Network Technology

[14 Hops, 2.5 Gbps, 50% Cloud Cover]



**Cumulative Technologies**



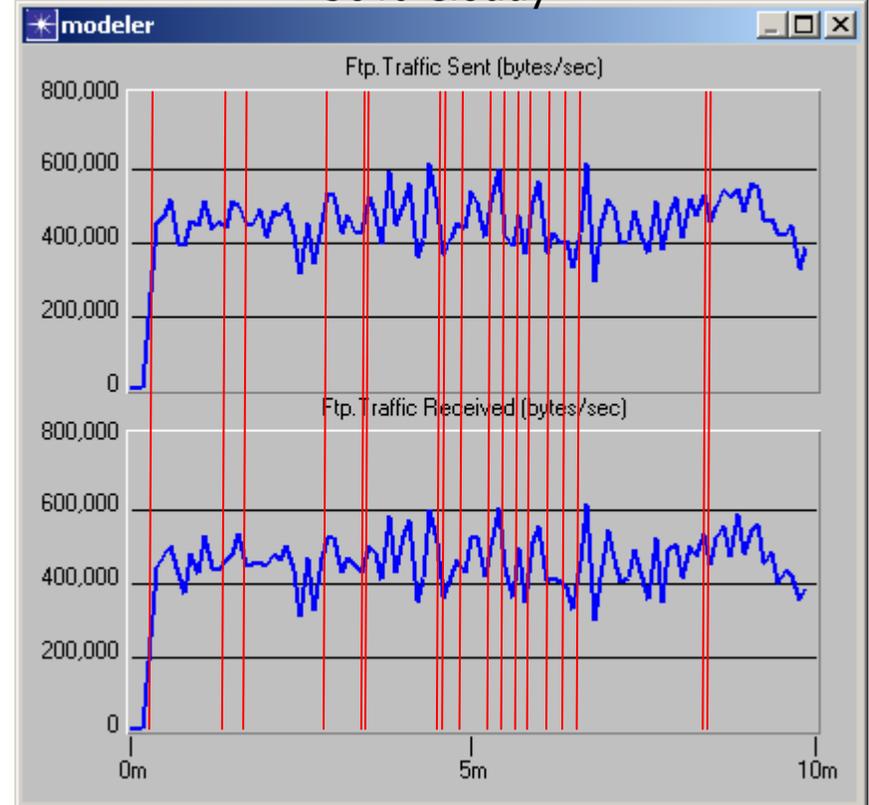
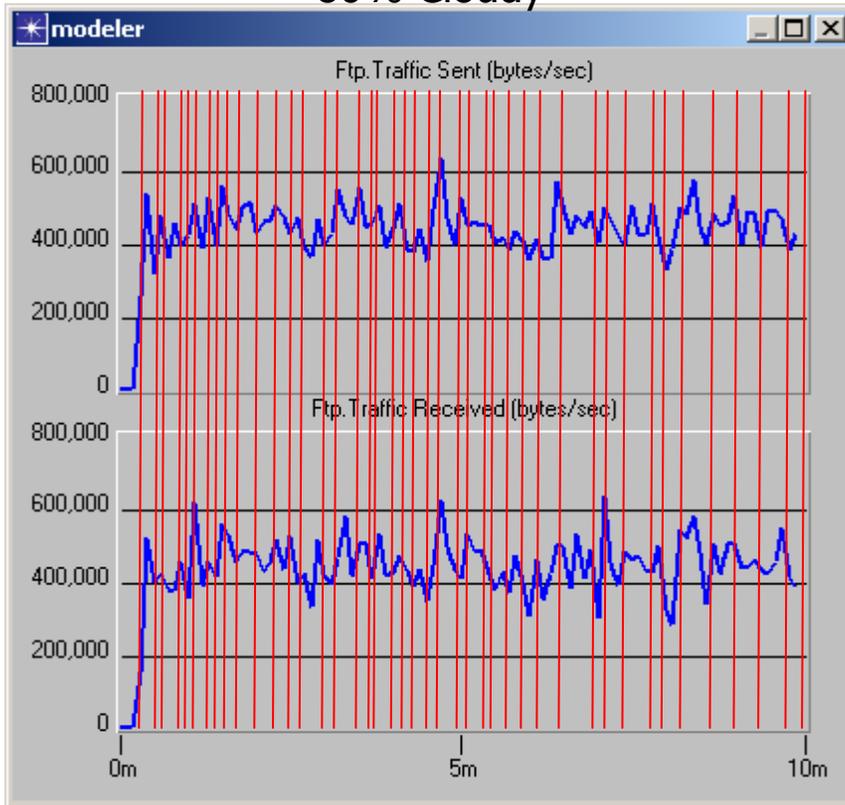
# Networking to Route Around Blockages

Routing Diversity enables sustained data rate under cloudy conditions [14 hop scenario]

The vertical red lines are the times that the link failed and required rerouting.

80% Cloudy

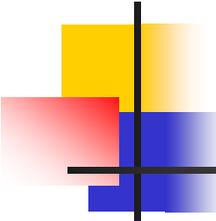
50% Cloudy



# End-to-End Networking Results Summary

TCP Segment Delay
TCP Delay (95%)
Max TCP Delay

		Cloud Cover		
		0%	50%	80%
Network Configuration	Aerostat 14 hops	N/A	4.3 ms	4.3 ms
		N/A	0.11 sec	0.69 sec
		N/A	0.16 sec	0.81 sec
	Aerostat 9 hops	N/A	4.1 ms	8 ms
		N/A	0.1 sec	0.8 sec
		N/A	0.13 sec	1.05 sec
	No Aerostat 10 hops	4.3 ms	6.6 ms	6.3 ms
		0.098 sec	0.14 sec	0.83 sec
		0.108 sec	0.23 sec	1.31 sec



# THOR Phase 1 Architecture Summary

---

## Cloud mitigation:

- Route diversity is an effective cloud mitigation strategy
- Use switching and self-correcting power of the network to get around clouds at PoPs
  - ✓ Airborne nodes clustered around PoPs for cloud mitigation [Combination of resources of opportunity and dedicated aircraft]
  - ✓ Link redundancy required: minimum 4 links per node
  - ✓ Smart flying improves performance and connectivity
- Diminishing returns above 80% cloud cover
- Use of aerostat is an option to reduce node count and get above worse atmosphere

## Channel loss mitigation:

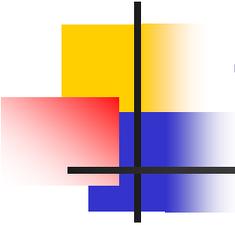
- High bandwidth tip/tilt correction, active tracking on both transmit and receive
- Use of towed bodies to mitigate FOR, boundary layer limitations

## WDM for link isolation

- Topology control assigns wavelengths

## MRR implementation:

- Shuttered MRRs with IFF can be used to support link acquisition
- Potential for use on small platforms in store-and-forward configurations
- Secondary dissemination



# THOR Phase 1 Terminal Summary

---

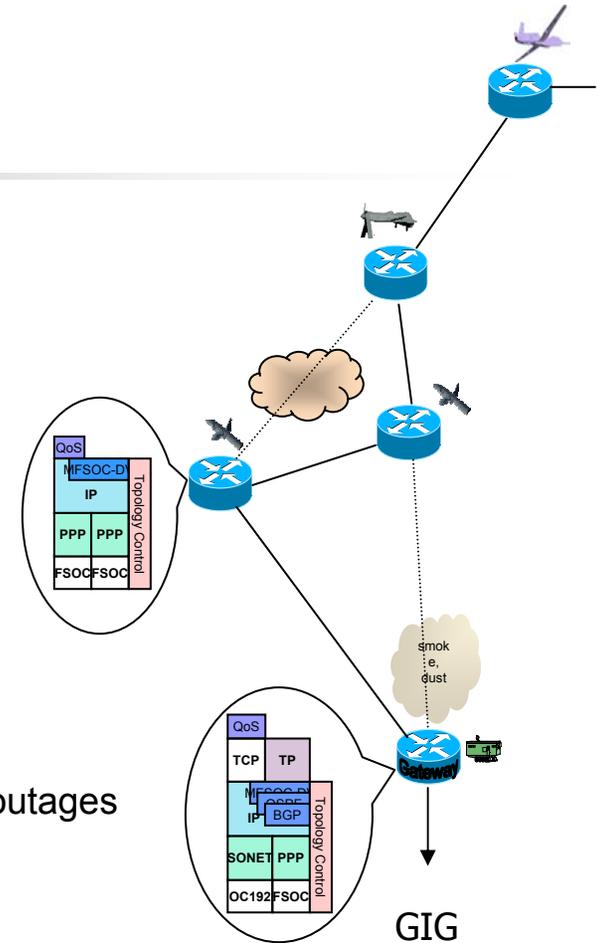
## Terminal:

- Large link margins able to compensate for scintillations
- Develop multiple access receiver technology to reduce size and weight by sharing receive optical hardware
- Technologies to reduce SWaP
  - ✓ Develop non-mechanical beam steering to same or higher performance levels as mechanical
  - ✓ Increase efficiency and reduce SWaP of support equipment for high-power lasers
- Increase STAB aperture so it can be used both on transmit and receive
- Mature volume hologram beam steering technology
  - ✓ Develop solutions for tracking with holographic beam steerer
  - ✓ Develop solutions for bit rate limitations of holograms
  - ✓ Leverage/mitigate chromatic dispersion to support WDM
  - ✓ Increase aperture size supported
- Develop transmissive LC SLM technology with performance of reflective to reduce size
- Increasing FOR of non-gimbal beam steering
- Develop MRR technology
  - ✓ Higher data rates, larger aperture size, cat's eye

# THOR Phase 1 Networking Summary

## Network:

- Physical layer
  - ✓ RF links as back-up
  - ✓ Forward error correction coding
  - ✓ 'Long' interleaver
  
- Link layer
  - ✓ Link layer retransmission as appropriate
  - ✓ Rate adaptation and RF links as backup
  
- Network layer
  - ✓ Smart routing to quickly respond to cloud-induced outages
  - ✓ QoS-based service provisioning
  
- Transport layer
  - ✓ Alternatives to TCP (e.g., SCPS-TP, SCTP, XCP) that are more tolerant to RTT variance and appropriately respond to packet loss
  
- Topology control / Resource management: Further development is required
  - ✓ Discover potential neighbors
  - ✓ Coordinate link acquisition to maintain optimal network connectivity
  - ✓ Controls pointing, wavelength assignment





# Where We're Going...

---

## ORCLE

High Data Rate with High Availability for Communications On The Move

# DIRO Direction

The central challenge is to enable optical communications bandwidth without giving up RF reliability and “all-weather” performance. Refocus efforts to **also** address achieving **high Availability**

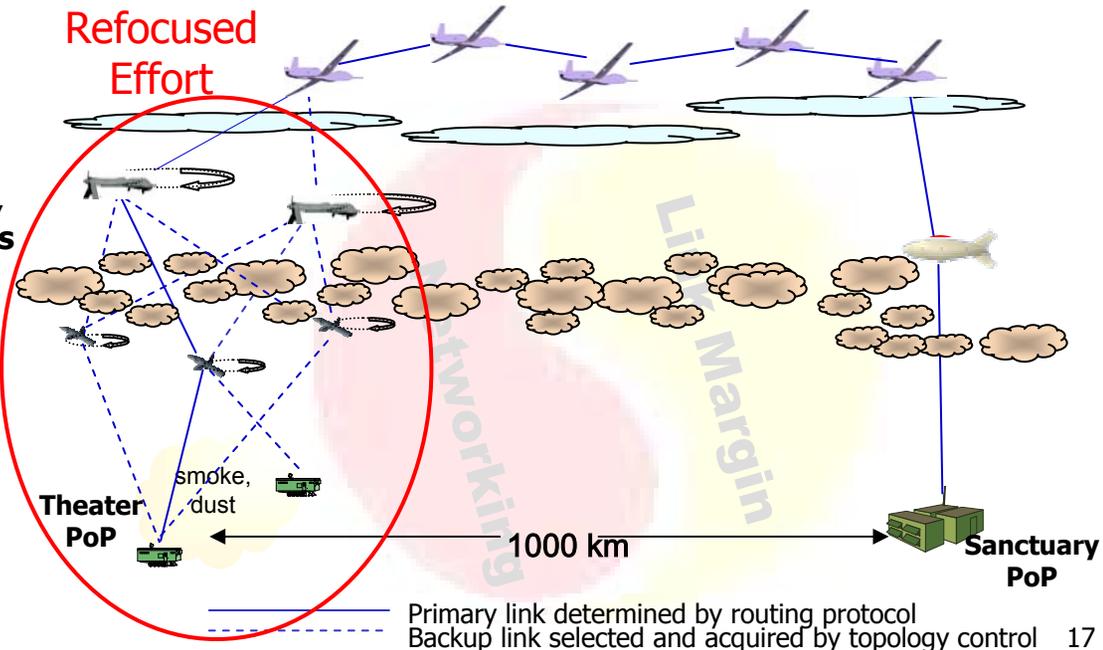
- Ground to Air / Air to Ground (Surface ~10 km altitude)
  - Desired Availability: >95% under all conditions
  - Data Rate: >2 Gbps (average under all conditions)

Large FSO link margins (~30dB) forgive many of the sins of scintillations (turbulence fades)

Radio Frequency layer to increase availability in times of sever cloud blocking or insufficient nodal density

Networking (path diversity) forgives many of the sins of path blocking (clouds)

**Taken together, these attributes of an ORCLE network enable a resilient high average data rate network**



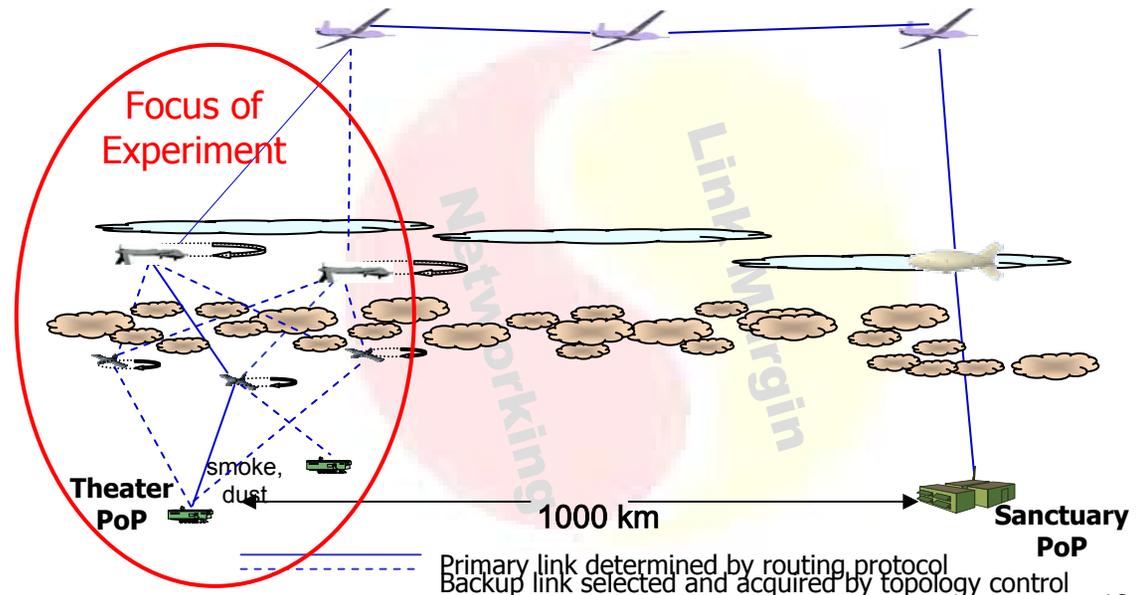
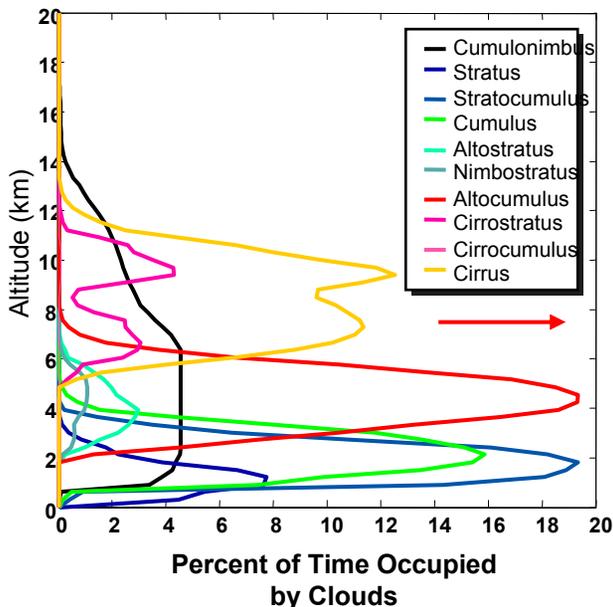
# ORCLE

The central challenge is to enable optical communications bandwidth without giving up RF reliability and “all-weather” performance.

## ■ Challenges

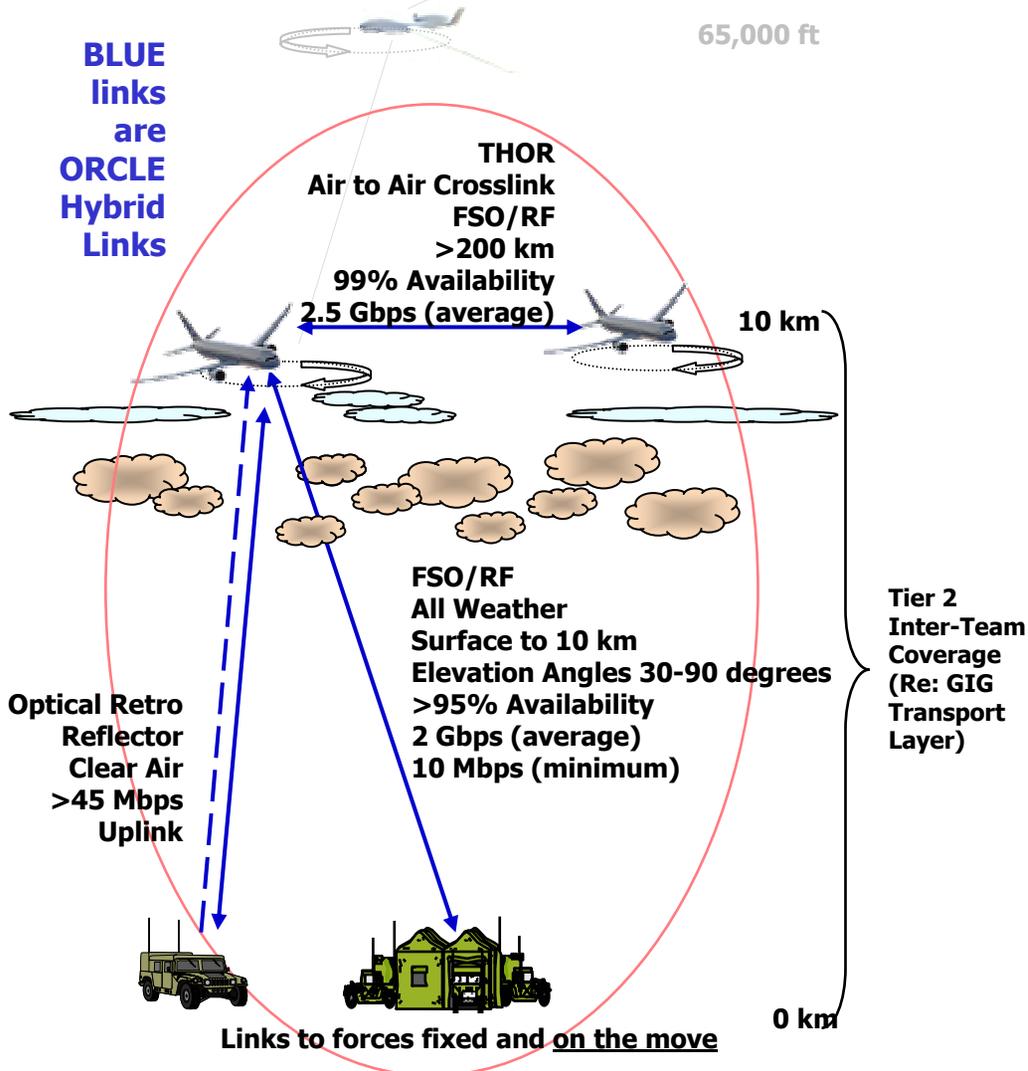
- Lowest 10 km of atmosphere
  - LOS limitations (cloud blocking concentrated at lower altitudes)
  - Variable channel characteristics (turbulence induced fading)
- High Availability in all weather conditions
  - FSO performance strongly tied to channel characteristic
  - RF relative immune to most channel characteristics
- High average data rate
  - FSO high but *unreliable* data rate
  - RF low data rate but *reliable*

Even short opportunities to “burst” very high FSO data rates leads to much higher average data rates compared to RF

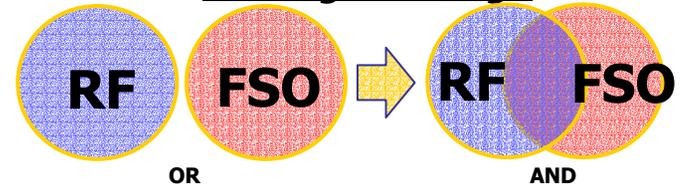


# ORCLE

The plan is to demonstrate three links & simulate network performance



## Paradigm Change

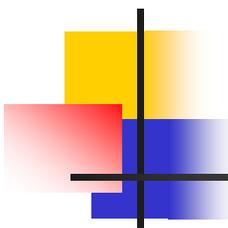


Generally complementary channel characteristics

- |                                                                                                                                            |                                                                                                                                       |
|--------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>■ Low Data Rate</li> <li>■ Stable Channel</li> <li>■ Relatively immune to cloud blocking</li> </ul> | <ul style="list-style-type: none"> <li>■ High Data Rate</li> <li>■ Bursty Channel</li> <li>■ Must have generally clear LOS</li> </ul> |
|--------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|

The resilience of the *combined* hybrid RF/FSO link will be in it's ability to achieve high availability with high average data rates.

- More options for adapting to weather
  - Minimum assured data rate
  - Maximum availability
- Physical Layer diversity improves Availability and Jam Resistance
- Networking to best match QoS of traffic needed to channel quality (RF or FSO; RF and FSO)
- Replace RF communications footprint with combined RF/FSO - SWaP Aware



# ORCLE Considerations

---

- Networking Schema for QoS
  - RF for Latency sensitive assured delivery (“Dial tone”)
  - FSO for bulk high bandwidth transfers that are less latency sensitive
  - Dynamic Allocatable Dual Physical Layer
- High Data rate Modulating Retro Reflectors
  - Greater than 45 Mbps
  - Wide FOV
  - Compact form factor
- Optical Channel Mitigation
  - Ultra short pulse lasers
  - Partially coherent beams
- Common/Combine FSO/RF Aperture
  - Enables transition to operational platforms as “replacement” rather than “in addition to” while maintaining current capabilities
  - Cohabitation of RF and FSO in same apertures long term goal

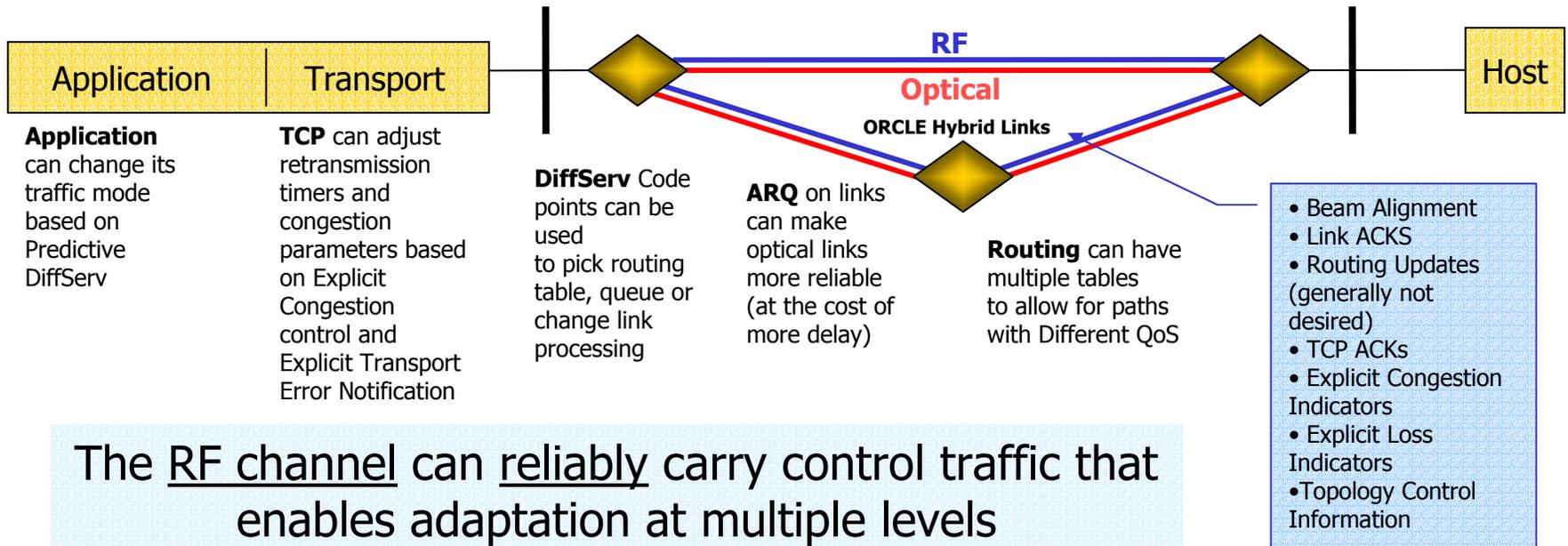
# Hybrid Link FSO + RF Considerations

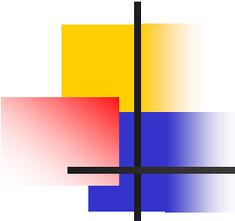
## ***Data Plane***

- Traffic Management
  - Control plane enables multiple data level priorities through intelligent allocation of bandwidth
  - Hardware must be consistent with traffic management rules
    - e.g. Interfaces, RF/optical switching, RF/optical redundancy
- System requirements for high priority data
  - Max data rate determined by RF network
  - Latency must be minimized

## ***Control Plane***

- Network control plane uses RF and optical channels to leverage the complementary powers of each domain
  - RF channel for neighbor discovery & acquisition
    - Sharing of GPS and INS data on aircraft position, velocity, and time
  - Optical channel for link quality probe
    - Uses a separate low rate optical tracking channel or data channel itself
    - Can also be used for operational control and signaling
  - The control plane integrates information from each domain, utilizing both to optimize network performance
    - Topology optimization and dissemination, physical provisioning, identification of back-up paths all flow from the control plane information
  - The control plane media is RF for out-of-band, inter-node control





# ORCLE Acquisition Strategy

Contract Awards (up to 2) to System Integrators for Range Demonstration (~month 16-18) and Flight Demonstration (~month 28-30) of prototype system. Focus of the demonstrations will be to prove concepts for:

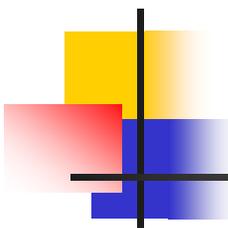
- Hybrid Networking
- High Data Rate Modulating Retro Reflector

The  
PRIMARY  
focus of  
ORCLE

Contract Awards (multiple) for innovative high risk and high payoff development and testing of concepts for:

- Common/Combine FSO/RF Aperture
- Optical Channel Mitigation
- Hybrid Routing Technology
- Compact Optical Beam Steering

The  
technologies  
that will  
facilitate and  
enable ORCLE  
to transition to  
the warfighter



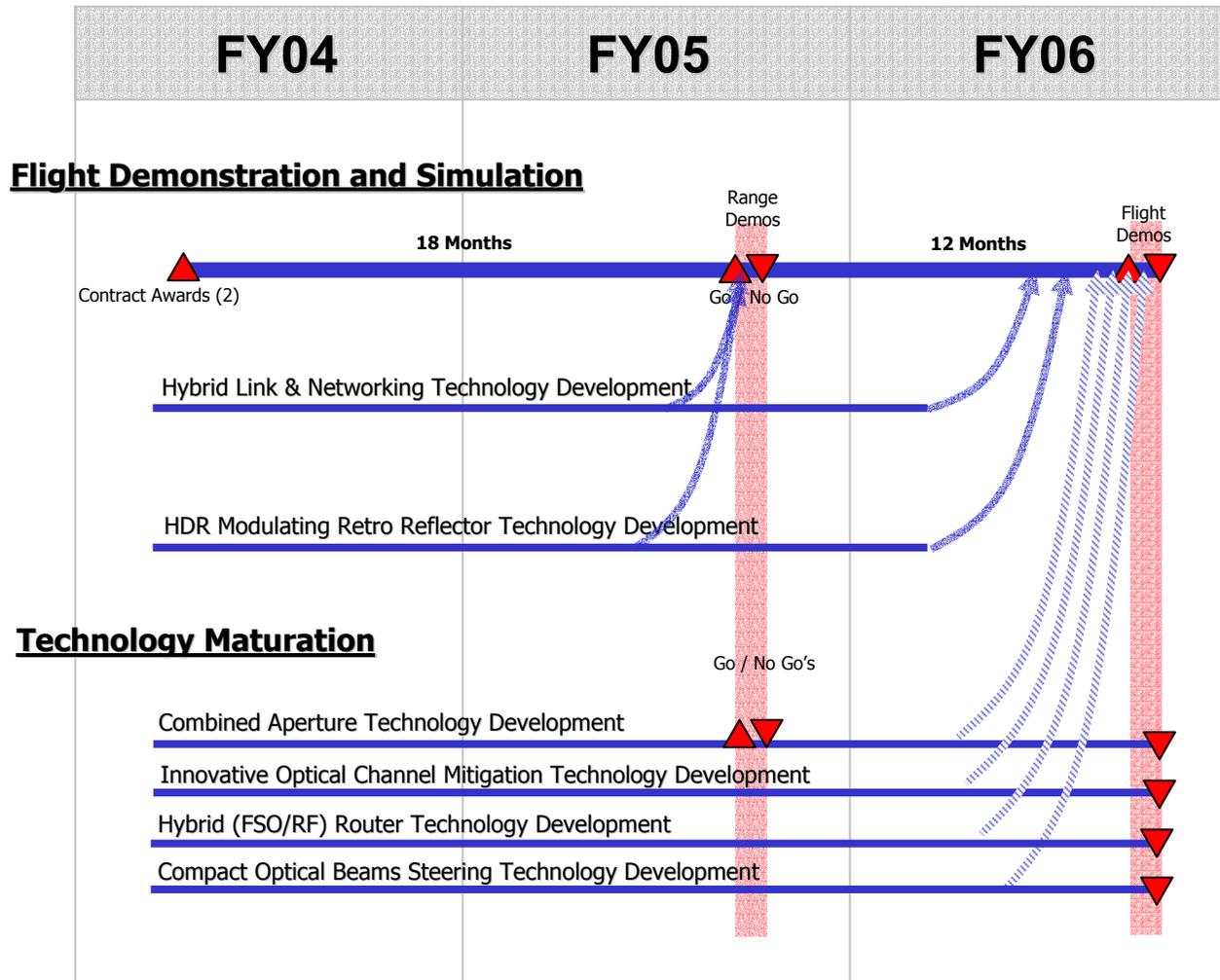
Notional

# Evaluation Criteria

---

- Technical Approach
- Operational Utility
- Concept of Operations
- Management Approach
- Potential Contribution and Relevance to DARPA Mission
- Cost Realism

# Notional Schedule for ORCLE



# Go / No Go Criteria and Conditions

Months After Contract Award	Event	Go /No Go Criteria	Conditions
<b>18</b>	<b>Range Demo [White Sands Missile range]</b>	<ul style="list-style-type: none"> <li>✓ RANGE DEMO of HYBRID FSO/RF Link</li> <li>✓ Mountain Top to Mountain Top as surrogate Air to Air (~50 km separation)</li> <li>✓ Mountain Top to Ground as surrogate Air to Ground Link (~12 km separation)               <ul style="list-style-type: none"> <li>✓ G-A, A-A Availability &gt;95%</li> <li>✓ Demonstrate &gt;2.0 Gbps <i>average</i> data rate</li> </ul> </li> <li>✓ MRR data rate &gt;45 Mbps at 12 km</li> <li>✓ Model performance for conditions in South East Asia during July for a 10 node hybrid network over a 400 km area with 2 ground nodes (one fixed and one mobile)</li> </ul>	<ul style="list-style-type: none"> <li>✓ Place terminals on motion tables to simulate C135 flight vibration environment and aircraft motion.</li> <li>✓ Vary the optical opacity over time using screens (A-A and A-G tests)</li> <li>✓ 40 hours simulated A-A test time</li> <li>✓ 40 hours simulated A-G test time</li> </ul>
<b>30</b>	<b>Flight Demo [Gov't Range]</b>	<ul style="list-style-type: none"> <li>✓ FLIGHT DEMO – Ground to Air to Air of HYBRID FSO/RF Link               <ul style="list-style-type: none"> <li>✓ G-A-A Availability &gt;95%</li> <li>✓ Demonstrate &gt;2.0 Gbps <i>average</i> data rate</li> <li>✓ Demonstrate Common / Combined FSO&amp;RF Aperture</li> </ul> </li> <li>✓ FLIGHT DEMO – Mobile Ground to Air</li> <li>✓ MRR data rate &gt;45 Mbps at 20 km</li> <li>✓ Model performance for conditions in South East Asia during July for a 20 node hybrid network over a 1000 km area with 2 ground nodes (one fixed and one mobile)</li> </ul>	<ul style="list-style-type: none"> <li>✓ 40 hours of flight testing per a/c (80 hrs total).</li> <li>✓ Test Hybrid Link under a variety of environmental conditions (clear to cloudy).</li> </ul>



**Briefing Complete**

